

Express Mail Label No.: EL 9552207 US
Date of Deposit: May 7, 2002

IC20 Rec PCT/PTO 07 MAY 2002 SDC 1
Attorney Docket No. 19904-012 NATL



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Clair, *et al.*
SERIAL NUMBER: 09/857,000 EXAMINER: Not Yet Assigned
FILING DATE: September 7, 2001 ART UNIT: 1642
FOR: PEPTIDES CARRYING SUBSTANCES ACROSS THE BLOOD BRAIN
BARRIER

May 7, 2002
Boston, Massachusetts

U.S. Patent and Trademark Office
Box Sequence, P.O. Box 2327
Arlington, VA 22202

TRANSMITTAL LETTER

Enclosed herewith are the following for the above-identified patent application:

- ☒ Copy of Notification of Defective Response (2 pages);
- ☒ Preliminary Amendment and Response to Notification of Defective Response (2 pages);
- ☒ Substitute Computer Readable Form Copy of the "Sequence Listing" (1 diskette);
- ☒ Substitute Paper Copy of the "Sequence Listing" (10 pages);
- ☒ Statement in Support of Computer Readable Form Submission Under 37 C.F.R. § 1.821(f) (1 page); and
- ☒ Return postcard.



30623

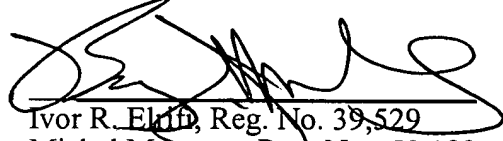
PATENT TRADEMARK OFFICE

Applicants: Clair et al.
U.S.S.N.: 09/857, 000

The Commissioner is authorized to charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Attorney Ref. No. 19904-012 NATL.

A duplicate copy of this Transmittal is enclosed.

Respectfully submitted,



Ivor R. Elfin, Reg. No. 39,529
Michel Moreney, Reg. No. 50,183
Barry J. Marenberg, Reg. No. 40,715
Attorneys for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: May 7, 2002

TRA 1665459v1



UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 07 2002

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/857,007 & TRADEMARK

Philippe Clair

19904-012 NAT

INTERNATIONAL APPLICATION NO.

PCT/FR99/02938

I.A. FILING DATE

PRIORITY DATE

11/26/1999

11/30/1998

 Ivor R Elic
 Mintz Levin Cohn Ferris Glovsky & Popeo
 One Financial Center
 Boston, MA 02111
RECEIVED

APR 29 2002

 MINTZ LEVIN, BOSTON
 PATENT DOCKET DEPT.

CONFIRMATION NO. 7846

371 FORMALITIES LETTER



OC000000007926048

Date Mailed: 04/23/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

<input checked="" type="checkbox"/> Data Entry	Done By
<input checked="" type="checkbox"/> Docket Entry	JAN
<input type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Annuities	

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - See Attachment(Raw Sequence Listing Error Report) P.S. If Error report not received contact Catherine

Short at (703)305-3620.....

■ **APPLICANT MUST PROVIDE:**

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

● For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/857,000	PCT/FR99/02938	19904-012 NAT